THE MERGER FUND

# IRA Transfer Form

Mail To: The Merger Fund
c/o U.S. Bancorp Fund Services, LLC
P.O. Box 701
Milwaukee, WI 53201-0701

Overnight Express Mail To: The Merger Fund
c/o U.S. Bancorp Fund Services, LLC
615 E. Michigan St., 3rd Floor
Milwaukee, WI 53202-5207

**Important phone number for The Merger Fund:** for fund information, prices and literature, **1-800-343-8959**.

## Instructions

Use this form when transferring funds from an *existing* IRA to The Merger Fund IRA.
If you are establishing a new account, you must also complete The Merger Fund IRA Application.

### INVESTORS ARE REMINDED THAT:

- Your current custodian/trustee may require that you obtain a signature guarantee to process this transfer.
- A signature guarantee may be obtained by a domestic commercial bank, trust company, a member firm of a national securities exchange, or a savings & loan association. A signature guarantee by a notary public will NOT be accepted.

## A. Investor Information

NAME

SOCIAL SECURITY NUMBER

ADDRESS

CITY/STATE/ZIP

( )
DAYTIME PHONE NUMBER

( )
EVENING PHONE NUMBER

## B. Please Transfer My IRA From:

NAME OF CURRENT CUSTODIAN (BANK, SAVINGS AND LOAN, MUTUAL FUND, ETC.)

ADDRESS

CITY/STATE/ZIP

ACCOUNT NUMBER OR CERTIFICATE OF DEPOSIT (CD)*

MATURITY DATE (IF APPLICABLE)

* Transfer ❑ immediately ❑ at maturity

## C. Investment Choices

❑ Open a new* Merger Fund.
*If you are opening a new account, this form must be accompanied by a completed IRA Application.

❑ IRA
❑ Rollover IRA
❑ SEP-IRA
❑ SIMPLE
❑ Roth IRA
❑ **Conversion Roth IRA
Year Established _______
(Year in which traditional IRA was converted to a Roth IRA.)

❑ Invest in my existing Merger Fund.

| | Account # |
|---|---|
| ❑ IRA | ____________ |
| ❑ Rollover IRA | ____________ |
| ❑ SEP-IRA | ____________ |
| ❑ SIMPLE | ____________ |
| ❑ Roth IRA | ____________ |
| ❑ **Conversion Roth IRA | ____________ |

**Only available to individuals with single or joint Adjusted Gross Income of $100,000 or less.



See reverse side of form

## D. Conversion of Traditional IRA to Roth IRA

❑ Check here if you are distributing assets from a Traditional IRA with the intention of establishing a Conversion Roth IRA

## E. Signature

(Exactly as Registered)

To current Custodian:

Please consider this your authority to sell ❑ all of my assets ❑ $ _________________ of my assets in the account identified in Section B above and prepare a check to The Merger Fund. It is my intention to transfer these assets to the above-named fund, for which U.S. Bank, National Association acts as Custodian.

Please send the check representing the assets, along with a copy of this form to the address below. Send check to:

U.S. Bancorp Fund Services, LLC
P.O. Box 701
Milwaukee, WI 53201-0701

I certify that I have received and read the prospectus for the Fund into which I am transferring my IRA. Thank you for your prompt handling.

_______________________________________ _______________________
YOUR SIGNATURE DATE

_______________________________________
SIGNATURE GUARANTEE (IF REQUIRED BY CURRENT CUSTODIAN)

## F. Special Note

❑ I am over 70½ **and** the minimum required distribution for the current calendar year has been withheld from the assets being transferred.

## G. Acceptance

Custodian Authorization: U.S. Bank, National Association hereby accepts its appointment as Custodian of the above IRA account and upon receipt of assets, will deposit such assets in The Merger Fund IRA on behalf of the Depositor authorizing this transfer or direct rollover.

**U.S. Bank, National Association**